### **Scalable Element-Based Connectors**

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#### Abstract

Connectors are used in component-based systems as first-class entities to abstract component interactions. In this paper, we propose a way to compose connectors by using fine-grained elements, each of them representing a single, well-defined function. We identify an experimentally proven set of connector elements, which, composed together, model four basic component interconnection types (procedure call, messaging, streaming, blackboard), and allow for connector variants to reflect distribution, security, fault-tolerance, etc. The presented results are based on a proof-of-the-concept implementation where connectors are semi-automatically generated.

#### 1. Introduction and motivation

#### 1.1 Background

The concept of "connector" was introduced in software architectures as a first-class entity representing component interactions [1]. The basic idea is that components of an application contain only the application business logic, leaving the component interaction-specific tasks to connectors. However, such a characterization is too vague, since it does not strictly draw a line between component and connector responsibilities.

Different types of connectors are associated with architecture styles in [1] and analyzed as well as classified e.g. in [2,3]. Every architectural style is characterized by a specific pattern of components and connectors, and by specific communication styles (embodied in connectors). Thus, a style requires specific connector types. For example, in the pipe-and-filter architectural style, an application is a set of filters connected by pipes. As stream is here the inherent method of data communication, the pipe connector is used to mediate a unidirectional data stream from the

output port of a filter to the input port of another filter. Interestingly, the main communication styles found in software architectures correspond to the types of interaction distinguished in different kinds of middleware – remote procedure call based middleware (e.g. CORBA [4], RMI [5]), message oriented middleware (e.g. JMS [6], CORBA Message Service [4], JORAM [7]), middleware for streaming (e.g. Helix DNA [8]), and distributed shared memory (e.g. JavaSpaces [9], relational databases).

In general, a communication style represents a basic contract among components; however, such a contract has to be elaborated further when additional requirements are imposed (e.g. security, transactions). This triggers the need to capture the details not visible to components, but vital for actual connection. This comprises the an technology/middleware used to realize the connection, security issues such as encryption, quality of services, etc. These details are usually referred to as non-functional resp. extra-functional properties (NFPs). They should be considered an important part of a connector specification, since they influence the connection behavior (reflecting these properties directly in the components' code can negatively influence the portability of the respective application across different platforms and middleware). The NFPs are addressed mainly in reflective middleware research [10,11], which actually does not consider the connectors (in terms of component-based systems), but implements a lot of their desired functionality.

To our knowledge, there are very few component models supporting connectors in an implementation, e.g. [12] and [13]. However, these component systems do not consider middleware and do not deal with NFPs. As an aside, the term "connector" can be also found in EJB [14], to perform adaptation in order to incorporate legacy systems but capturing neither communication style nor NFPs.

#### 1.1 The goals and structure of the paper

As indicated in Section 1.1, a real problem with component models supporting connectors is that they are scarce and those existing do not benefit from the broad spectrum of functionality offered by the variety of existing middleware. Thus, a challenge is to create a connector model which would address this problem. Specifically, it should respect the choice of a particular communication style, offer a choice of NFPs, allow for automated generation of connector code, and benefit from the features offered by the middleware on the target deployment nodes. With the aim to show that this is a realistic requirement, the goal of this paper is to present an elaboration of the connector model designed in our group [15,16] which covers most of the problems above, including connector generation and removal of the middleware-related code from components.

The goal is reflected in the structure of the paper in the following way. In Section 2, we focus on the basic communication styles supported by middleware and present a generic connector model able to capture these styles and also reflect NFPs. At the end of the section we present the way we generate connectors. In Section 3, we use the generic model to specify connector architecture for each of the communication styles with respect to a desired set of NFPs. An evaluation of our approach and related work are discussed in Section 4, while Section 5 summarizes the contributions.

#### 2. Connectors vs. middleware

# 2.1 Component interactions reflected by middleware

In this section, we assume the connections among components are reflected in ADL (Architecture Description Language) via bindings of the components' interfaces (e.g. as in [17]). This assumption is mostly triggered by the practical need to employ an implementation environment/middleware based on subroutine calls. This assumption leads us to considering only the types of component interaction that are reflected in a middleware – procedure call, messaging, streaming, blackboard (see Table 1). Interestingly, these interaction types correspond also to the examples of connectors in [1].

However, the connector instances implementing a particular component communication style can vary in the way they capture NFPs, such as real-time constraints, middleware interoperability, monitoring, and security, as well as fault tolerance, transaction context modification, etc. In Appendix, for each communication style, we list the NFPs we consider important and sensible in middlewarebased connectors. The features are mostly mutually orthogonal; the few cases where they are not are clearly

Table 1. Communication styles

Communic ation style	Description	
Procedure call	A classical client server call. The client is blocked until the request is processed by the server and result is returned. <i>Example: CORBA</i> <i>remote procedure call</i>	
Messaging An asynchronous message delivery from a producer to the subscribed listeners. <i>Exam CORBA event channel service</i>		
Streaming	A uni- or bidirectional stream of data between a sender and (multiple) recipients. <i>Example: Unix pipe</i>	
Blackboard	A communication via shared memory. An object is referenced by a key. Using this key the object may be repeatedly read, written, and deleted. <i>Example: JavaSpaces</i>	

indicated by grey bars.

#### 2.2 Connector model and construction

We model connectors using a notation based on [15], capturing connectors as a composition of elements (Figure1). Using the elements we can model connectors with different NFPs. Compared to [15], we use structured connector elements to capture fine-grained parts of middleware, such as marshaling, unmarshaling, etc. Using the notation, Figure 1 shows a sample architecture of a connector (reflecting the procedure call communication style) where several client components can have access to a single server component. *Roles*, the black resp. white circles are in principle generic interfaces of the connector. They



Figure 1. Example of a Procedure call connector

will be later bound to a requires resp. provides interface of a component. They are on the connector *frame* (its boundary, the solid rectangle). Having a specific, typically elementary, functionality, each of the *elements* provides a part of the connector implementation. In principle, the designer of a connector specifies instances of elements and bindings between them (i.e. connector's *architecture*).

Deployment units (dotted lines) define the distribution of connectors. In principle, a deployment unit groups together the elements to be instantiated in a single address space. The responsibility for constituting a link between elements that crosses unit boundaries is delegated to the elements on both sides of the link. Typically, the underlying middleware is used to implement the link. Obviously, specification of deployment units has to be a part of connector architecture specification, because the boundaries have a significant impact on the resulting architecture.

The evolution of a connector comprises several activities captured on the activity diagram in Figure 2. Based on a desired communication style and a set of envisioned NFPs, the developer designs a connector architecture by identifying the roles, elements, their links and distribution units and also identifies the potential value space of the associated NFPs. Typically, an architecture specification is written in an ADL notation (see Figure 3).

Now, for a specific architecture A and its set of NFP domains, two activities can take place simultaneously (for better understanding, we illustrate the process in terms of a Java implementation): (i) a connector builder is created (a Java class), serving as a factory for connectors based on A; (ii) for each NFP domain D associated with A (Appendix), a specific value  $nfv_D \in D$  is chosen. Based on all the  $nfv_D$  values chosen this way, specific connector element factories are selected (an element factory is a Java class which can later generate a concrete element class). Now, each role in A is substituted by the actual interface determined by a tied component. In addition, this substitution is "announced" to element factories which generate concrete elements (*element adaptation*). Finally, the connector builder is run to instantiate the whole connector from the adapted elements.

Several steps of the connector construction process can be automated. They are emphasized in Figure 2 by solid



Figure 2. Connector evolution steps

/\* This is the ProcedureCall connector from Figure 1 specified in the component definition language of the SOFA component model (http://nenya.ms.mff.cuni.cz). Full version of this fragment is in [18]\*/ connector frame ProcedureCall <ClientType, ServerType> { multiple role ClientRole { provides: ClientType ClientProv; }: role ServerRole { requires: ServerType ServerReq; }; }; connector architecture SampleProcedureCall implements ProcedureCall { unit Client { inst EStub stub; }; unit Server { inst ESkeleton skeleton; inst EInterceptor interceptor; bind skeleton.callOut to interceptor.in; delegate ClientRole.ClientProv to Client.stub.callIn; bind Client.stub.lineOut to Server.skeleton.lineIn; bind Server.skeleton.lineOut to Client.stub.lineIn; subsume Server.interceptor.out to ServerRole.ServerReg;

Figure 3. Sample Procedure Call CDL definition

lines (builder generation, element adaptation and assembly). The actions represented by dashed lines have to be done manually; however, we believe that even they could be automated to a certain degree.

#### 3. Building real connectors

By analyzing several middleware designs and implementations [4,5,6,7,8,9], we have identified a list of

NFPs which can be addressed in middleware (see Appendix). In this section, we suggest a connector architecture for Procedure call and Messaging communication styles reflecting an appropriate spectrum of the identified NFPs. The other two (Streaming and Blackboard) are due to space reason omitted. Their detail description can be found in [18]. Similar to the example from Section 2, we map a single NFP to one or more connector elements organized in a specific pattern to achieve the desired connector functionality.

#### 3.1 Procedure call

The proposed connector architecture for the procedure call communication style is depicted in Figure 4a. It consists of a server deployment unit and multiple client deployment units. For simplicity, only one client deployment unit is shown. The other client units (identical in principle) are connected to the server deployment unit in the way illustrated in Figure 1.



In summary, the connector NFPs (listed in Appendix) are mapped to elements as described below. In principle, distribution is mapped to the existence of stubs and skeletons, and the NFPs dependent on distribution are reflected by the existence or variants of the elements inside the stub or the skeleton – encryption by an encrypt/decrypt element, connection quality by a send/recv element, and fault-tolerance by a distributor element replicating calls to multiple server units.

In more detail, the functionality of particular elements is following:

- *Roles*. Not reflecting any NFP, roles form the connector entry/exit generic points.
- *Interceptors* reflect the *monitoring property*. In principle, they do not modify the calls they intercept. If monitoring is not desired, these elements can be omitted.
- Adaptors implement the adaptation property. They solve minor incompatibilities in the interconnected components' interfaces by modifying the mediated calls. An adaptation can take place on the client side as well as on the server side (thus affecting all clients). If no adaptation is necessary, the elements can be omitted.

- *Stub.* Together with a skeleton element, the stub implements the *distribution property*. This element transfers a call to the server side and waits for a response. The element can be either primitive (i.e. directly mapped to the underlying middleware) or compound. A typical architecture of a stub is on Figure 4b. It consists of a request manager, which, using the attached marshaller, creates a request from the incoming calls and blocks the client thread until a response is received. An encryption element reflects the *encryption property*; sender/receiver elements transport a stream of data and also reflect the *connection quality property*. The *fault-tolerance property* is implemented by a distributor performing call replication. The stub element is needed only when distribution is required.
- *Skeleton* is the counterpart of the stub element. Again, its architecture can be primitive or compound (Figure 4c). The elements in the compound architecture are similar to those in compound stub. The servant manager uses the attached marshaller to create a call from the received data and assigns it to a worker thread. Again, skeleton can be omitted if distribution is not required.
- *Synchronizer* reflects the *threading policy property*. It synchronizes the calls going to the server component, allowing, e.g., a thread-unaware code to work properly in a multithreaded environment.
- *Transaction mgr.* implements the *transaction property*. When appropriate, it can modify the transaction context of the mediated calls.

#### 3.2 Messaging

The proposed connector architecture for the messaging communication style is depicted in Figure 5a. It consists of a distributor deployment unit and several sender/recipient units. (In a fault-tolerant case, there can be multiple distributor deployment units.) The sender/recipient deployment unit allows for sending messages to other attached components (as well as for receiving messages from them). The distributor deployment unit is in the middle of this logical routing star. For simplicity, only one sender/recipient deployment unit is shown. Other sender/recipient units would be connected to the distributor deployment unit in a similar way.

The connector NFPs (listed in Appendix I) are mapped to elements as described below.

• *Roles.* The sender role serves to sending messages. Depending on the *recipient mode property*, the reception can work either in push mode, employing the push role to automatically deliver the incoming messages to the attached component via a callback interface; or in pull mode, when the attached component polls for new messages via the pull role. If the component does not need to receive messages, the recipient role can remain unconnected.



b) message send/recv architecture Figure 5. Proposed messaging connector architecture

- *Queue*. Together with the pull role, this implements the pull variant of the *recipient mode property*. Thus, the queue is present only when the message reception works in pull mode to buffer the incoming messages if
- necessary. *Interceptors* implement the *monitoring property* (similarly to the procedure call architecture).
- Adaptor reflects the adaptation property by modifying the mediated messages.
- *Transaction mgr.* implements the *transaction property*. Its presence is meaningful only if message reception operates in push mode.
- *Message sender/receiver* realize the *distribution property*. Each of them performs communication with remote nodes. It can be either primitive (directly implemented by underlying middleware) or compound (its typical architecture is on Figure 5b). It is similar to the stub element, however the request manager is replaced by a message manager which allows the messages to be transferred in both directions. The distributor deployment unit supports implementation of the *fault-tolerance property*.
- *Distributor*. Being inherent to the communication style, it is a central part of the connector architecture. It distributes all the incoming messages to the attached recipient components. The element reflects *delivery strategy property* by implementing different policies for message routing (one recipient, all recipients, group address, etc.).

#### 3. Evaluation and related work

To our knowledge, there is no related work addressing all of the following issues in a single component model and/or in its implementation. 1) Reflecting the component interaction types which are supported by existing middleware, 2) providing the option of choosing from a set of NFPs, and 3) at least a partial generation of a connector with respect to the middleware available on target deployment nodes.

In addressing the first issue, we have identified four basic communication styles that are directly supported by middleware (i.e. procedure call, messaging, streaming, blackboard). These styles correspond to the connector types mentioned in software architectures in [1]. Medvidovic et al. in [3] go further and propose additional connector types (adaptor, linkage, etc.); in our view, being at a lower abstraction level, these extra connector types are a potential functional part of the basic four connector types (e.g., adaptation is a feature of each of our connector types).

To address the second issue, we have chosen the approach of reflecting a specific NFP as a set of reusable connector elements. Following the idea of capturing all the communication related functionality in a connector (leaving the component code free of any middleware dependent fragments), we have managed to compose the key connector types in such a way that NFPs are realized via connector elements and a change to a NFP implies only a replacement of few connector elements, leaving the component code untouched. Here, our approach is similar to reflective middleware [10,19,20,11], which is also composed of smaller parts; here, however, middleware-dependent code is inherently present in a component, making it less portable. Our work is also related to [21], which proposes a way to unify the view on NFPs influencing quality of service in real-time CORBA. It does not consider different communication styles, connectors as the communication mediators, and relies on having the source code of both the application and the middleware available.

In addressing the third issue (automatic generation), we have automated the connector builder generation, element adaptation, and connector assembly; however we plan to automate to a certain degree the design process of a connector architecture, including a supporting tool for connector element choice. The idea of automated middleware communication-related code generation is employed in ProActive [22], where stubs and skeletons are generated at run-time. However, ProActive is bound only to Java, does not consider other communication styles than RPC, and does not address NFPs.

*Prototype implementation*: As a proof of the concept, a prototype implementation of a connector generator for the SOFA component model [23] is available [17], implementing three of the proposed four communication styles (procedure call, messaging, and datastream).

Designed as an open system employing plugins for an easy modification, the connector generator allows, for example, switching transparently between RMI and CORBA (Java IDL [24]), as well as to combine these middleware technologies.

#### 4. Summary

In this paper, we presented a way to model and generate "real connectors" employing existing middleware. We have elaborated the connector model initially proposed in [15] to reflect the commonly used communication styles, as well as non- and extra-functional properties. In addition to separating the communication-related code from the functional code of the components, the model allowed us to partially generate connectors automatically to respect (i) the middleware available on the target nodes determined by component deployment, and (ii) the desired communication style and NFPs. Our further intentions include an elaboration of automatic connector generation, including a tool supporting the connector design process.

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## Appendix

Procedure Call			Messaging		
Feature name Comment		Feature name	Comment		
distribution		The connection may be either in one address space or span across several address spaces and/or computer nodes.	distribution	The messages may be exchanged within only one address space or across several address spaces and computers.	
distributed	encryption	Encryption can be employed to provide security even on insecure lines.	encryption ج	Encryption can be employed to provide security even on insecure lines.	
	connection quality	It may be necessary to assure some quality of connection (e.g. maximal latency, throughput	connection quality	It may be necessary to assure some quality of connection (e.g. maximal latency, etc.)	
	fault- tolerance	The connector can support replication to make the server fault-tolerant.	fault- tolerance	Allows to groups of replicas instead of single recipient making the application fault tolerant.	
threading policy		The calls may be serialized (single-threaded) or left unchanged.	adaptation	order to allow incompatible components to cooperate.	
adaptation		Both the calls and their parameters may be modified in order to allow incompatible component interfaces to cooperate.	monitoring	The transmitted messages may be monitored allowing for profiling and other statistics (usage, throughput, etc.)	
monitoring		The calls and their parameters may be monitored to allow for profiling and other statistics (usage, throughput, etc.)	transactions	This feature specifies how to handle the transactional context (e.g. requires, requires new, etc.)	
transactions		This feature specifies how to handle the transactional context (e.g. propagate the clients' transaction at the callee side)	delivery strategy	This feature controls to whom the message should be delivered. Possible values may be: exactly one, at least one, all.	
		Streaming	recipient	Every recipient can work either in pull or push	
Feature name Comment		pull/push	mode. In push mode every new message is		
distribution		The data may be exchanged within only one address space or across several address spaces and computers.	mode	"accept message" method is invoked). In pull mode the recipient actively polls the incoming queue for new messages.	
distributed	encryption	Encryption can be employed to provide security	Blackboard		
	aonnaction	even on insecure lines.	Feature name	Comment	
	quality	connection (e.g. maximal latency, throughput, etc.)	distribution	The data may be shared pro components residing only in one address space or by	
	fault- tolerance	Allows for groups of replicas instead of single recipients making the application fault tolerant.	encryption	Encryption can be employed to provide security	
adaptation		The transmitted stream may be modified in order	connection	It may be necessary to assure some quality of	
monitoring		The transmitted messages may be monitored	quality	connection (e.g. maximal latency, throughput, etc.)	
		throughput, etc.)	adaptation	The accessed values may be transparently	
duplexity		The connector may be either unidirectional (half-duplex) or bidirectional (full-duplex)		modified in order to allow incompatible components to cooperate.	
dnp- multicast		If the connector is half-duplex, the stream can have more recipients, allowing for e.g. audio and video broadcasting.	monitoring	The accessed data may be monitored allowing for profiling and other statistics (usage, throughput, etc.)	
recipient pull/push		Every recipient can work either in pull or push mode. In push mode the received data are	locking	set of keys. The other components accessing the same data are temporarily blocked.	
mo	ae	immediately given to recipient (the recipient "receive" method is invoked). In pull mode the			